



**Saudi Standards, Metrology and Quality Organization
(SASO)**

Technical Regulation for Lubricating Oils

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Note:

**Only the Arabic version of this Regulation is authentic in law and is
applicable where there are differences with this translation**

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Preamble

In line with the accession of the Kingdom of Saudi Arabia (KSA) to the World Trade Organization (WTO), as per the Decree No. 244 of the Council of Ministers, dated 21/09/1426 A.H. (23-10-2005), concerning the approval of documentation on the Kingdom's accession to the WTO, and the requirements by which the KSA shall adapt its relevant systems with the principles of WTO agreements, particularly, the Technical Barriers to Trade (TBT), which stipulates that no unnecessary technical requirements shall impede the flow of goods among the member states, and that technical requirements and methods of conformity assessment shall not discriminate between products on the basis of origin, through the issuance of Technical Regulations that include the essential requirements and standardized business procedures.

In accordance with Article 3 (Clause-1), Statue of Saudi Standards, Metrology and Quality Organization, issued in accordance with the Council of Ministers Decree No. 216, dated 17/06/1431 A.H. (31/05/2010 A.D.), stipulating that: **“SASO should undertake the issuance of Saudi standards, quality systems and guidelines and conformity assessment, compatible with international standards and guidelines, that meet the requirements of the World Trade Organization (WTO) Agreement, in addition to their compliance with Islamic Sharia and serving the interests of Saudi Arabia”**;

In accordance with Article 4 (Clause-2), Statue of Saudi Standards, Metrology and Quality Organization, issued in accordance with the Council of Ministers Decree No. 216, dated 17/06/1431 A.H. (31/05/2010 A.D.), stipulating that: **“SASO should undertake the issuance of regulations for conformity assessment procedures of goods, products, and services according to approved standards”**;

In accordance with Article 4 (Clause-14), Statue of Saudi Standards, Metrology and Quality Organization, issued in accordance with the Council of Ministers Decree No. 216, dated 17/06/1431 A.H. (31/05/2010 A.D.), stipulating that: **“SASO should undertake reviewing the laws and control regulations related to SASO's work fields, and develop them, and propose amendments thereto in line with quality and safety requirements, and refer them to competent bodies in order to review and issue them, in accordance with applicable procedures”**;

In accordance with Article 6 (Clause-1), Statue of Saudi Standards, Metrology and Quality Organization, issued in accordance with the Council of Ministers Decree No. 216, dated 17/06/1431 A.H. (31/05/2010 A.D.), stipulating that: **“Taking into consideration what has been mentioned in Article 4 of this Statute, SASO is considered the reference of matters related to standards, conformity assessment procedures, granting the quality mark, metrology and calibration. All public and private sectors shall be adhered to the Saudi standards in all purchases”**.

Whereas the standards of the products included in a regulation is considered a basis for the conformity of such products with the essential safety requirements included in the specified regulation.

Therefore, SASO has developed this Technical Regulation.

Note: This preamble and all the annexes of this regulation shall form an integral part thereof.

Article (1): Terms and Definitions

1/1 Nomenclatures and expressions hereunder – during the application of this Technical Regulation - shall have the meanings indicated in front thereof, unless the context requires otherwise:

SASO: Saudi Standards, Metrology and Quality Organization

The Board: SASO's Board of Directors.

The Kingdom (KSA): Kingdom of Saudi Arabia.

Technical Regulation: A document approved by SASO's Board of Directors that specifies the characteristics of products, their related processes and their methods of production, including the valid applicable administrative provisions that must be adhered to. It may include, in particular, the terminology and definitions, packaging, marking or labeling requirements for products, services, processes or production methods.

Standard: A document specifying the characteristics of the good, material, service, or anything that is subject to measurement. The standard also offers descriptions, characteristics, level of quality, dimensions, measurements, safety and security requirements. A standard may also include terminology, symbols, test methods and sampling techniques, packaging, labeling and marking requirements.

Essential Requirements: The special requirements of the products; that may affect the safety, health and the environment; that must be adhered to.

Market Surveillance: Activities and measures carried out by the market surveillance authorities to verify that products meet the requirements stipulated in the relevant technical regulations, and to ensure that they do not pose a risk to health, safety, the environment, or any other aspect related to the protection of the public interest.

Market Surveillance Authorities: Authorities/governmental bodies responsible for carrying out market surveillance operations.

Regulatory Authorities: Governmental body/bodies with regulatory tasks according to their specializations, that are responsible for the implementation and enforcement of technical regulations in either customs ports, markets, or manufactories.

Hazard(s): A potential source of harm.

Risk (s): A potential risk causing damage; associated with the severity of damage.

Supplier:

- A product manufacturer, in case that he is in the Kingdom, or the person identified as the manufacturer of the product, through linking the product to his name, or to a relevant commercial description, or any person who provides a product replacement.
- An agent, when the manufacturer is outside the Kingdom or an importer in the absence of an agent of the manufacturer.
- Any person in the supply chain, whose activities may impact the product characteristics.

Conformity Assessment Procedures: A document approved by the Board of Directors, which describes the procedures used directly, or indirectly for the conformity assessment.

Notified (Approved) Bodies: Conformity Assessment Bodies approved by SASO in accordance with the regulation of approving Conformity Assessment Bodies.

Certificate of Conformity: A certificate issued by SASO or a notified body, which ensures the conformity of a product, or any batch thereof, with the requirements of the relevant standards and Technical Regulation.

Supplier Declaration of Conformity: A declaration by the supplier by which it declares that a product is in conformity with the applicable legislations, without the mandatory intervention of a third party neither in the design stage, nor in the production stage of the manufacturing process. A declaration may depend on testing the product in accordance with the relevant legislation.

Saudi Quality Mark: A mark granted by SASO, which declares that the supplier has established an effective management system, which ensures that the products

they supply are produced in accordance with the applicable regulations, granting procedures, and the relevant Saudi standards.

Placing on Market: Launching a product for the first time a product in the Saudi market for which the manufacturer/supplier is responsible.

Making available on the market: Any supply of the product for distribution, consumption or use in the Kingdom, in the course of a commercial activity, whether in return for payment or free of charge.

Withdrawal: Any procedure that aims at preventing or restricting the use of a product in the market or in a supply chain.

Recall: Any procedure that aims to recall products made available for the end-user.

Mineral Base Oil: Petroleum product obtained by refining of certain batches of crude oil after the separation of fuel distillates and other undesirable components, such as asphalt, wax and aromatics, in order to produce mineral base oil with specific properties and standards

Additives: Chemical compounds added to base oils in order to enhance its performance and characteristics during use.

Lubrication (Lube): The process of adding lubricating oil in order to reduce friction and wear between the moving parts, to control the high temperature resulting from friction, and to minimize corrosion and remove residues resulting from the internal combustion in engine.

Used Refined or Recycled Oils: A petroleum product obtained from re-refining used oils after the separation of solid impurities, and combustion residuals (formed by the internal combustion in engine). As well as water and fuel leaking into the oil during usage after conducting the required physical and chemical processes. These oils can be used as base oil, provided that they have the same characteristics and quality of the original oil.

Automatic Transmission Fluid (ATF): An oil intended and designed for use in a full-automatic transmission system, which consists of a base oil with additives to improve its characteristics

Hydraulic Oils (HLP): Pressurized oils composed of petroleum products with effective materials to increase the corrosion resistance and obsolescence during the use period to reduce the friction of the transmission in the mixed friction area.

Lubricating Grease: A semi-solid to solid mix composed of a lubricating fluid, thickener and additives.



Lubricating Liquid: Mineral base oil, synthetic oil, refined/recycled used oil or a mixture thereof.

1/2 The terms and expressions specified herein shall have the meanings specified in the applicable laws, regulations and decrees of SASO.

Article (2): Scope

This regulation shall apply to the following lubricating oils:

- Lubricating oils suitable for use in the gasoline/diesel-powered internal combustion engines, including refined oils, or recycled used oils, used as base oils in the composition of lubricating oils for internal combustion engines.
- Car brake oils.
- Automatic transmission fluids (ATF).
- Hydraulic oils.
- Gearbox lubricating oils.
- Lubricating oils.
- Unused mineral insulating oils intended for transformers and switchgears.
- Lubricating oils for air compressors and vacuum pumps.
- Mineral lubricating oils used in steam and gas turbines.
- Cleaner, Lubricant and Preservative (CLP) lubricating oils used for circular and splash lubrication systems.
- Lubricating oils for cooling staplers.
- Cooling-Lubrication (CL) lubricating oils used for lubricating systems.
- Lubricating oils used in dual cycle engines.

In accordance with the relevant definitions and terms stipulated in Article (1) and the related standards specified in Annex (1).

Article (3): Objectives

This regulation aims to identify the essential requirements of lubricating oils and other oils mentioned above of which included in the scope hereof, and to identify the conformity assessment procedures with which the suppliers shall comply with, to ensure the conformity of the product, and preservation of the environment and the health and safety of the consumer.



Article (4): Obligations of Supplier

The supplier shall adhere to the following:

1) Technical Requirements

- A) To meet the technical requirements for lubricating oils specified in the standards listed in Annex (1) of this Technical Regulation.
- B) To provide an effective environmental management system in the manufactory. (A manufacturer certified with ISO 14001 is considered as met with the requirements of this Article.)

2) Packaging Requirements

- A) Containers and packaging materials of lubricants shall be free from lead and any heavy metals.
- B) Ensuring that lubrication oils are filled in sealed containers, preventing oil leakage and contamination. Such containers shall neither affect nor be affected by the oil, while complying with the packaging requirements in accordance with the product standards.
- C) Ensuring that containers and packaging materials carry the recycling symbol in the case of using plastic containers.

3) Metrological Requirements:

- A) The quantities of packages shall be measured in the international system (SI units), its multiples, or its parts.
- B) All types of lubricating oils containers shall comply with the requirements specified in the standard (GSO OIML R 87).

Article (5): Labelling

Markings of lubricating oils, prepared to be placed or displayed in the markets, shall be as follows:

- 1) The markings of the product packaging shall be in accordance with the technical requirements set out herein and with the relevant standards, set forth in Annex (1) of this Technical Regulation.
- 2) The markings of the product packaging shall meet the requirements of the standard (GSO 1810) "Labeling for Chemical Products", defined in Annex (1) of this Technical Regulation.
- 3) The markings shall include warnings and instructions of use, and shall be legible and inerasable.



- 4) All information used in the marking shall be valid and verified.
- 5) Images and phrases used on the product packaging shall not violate the public law, morals, and the Islamic values prevailing in the KSA.

Article (6): Conformity Assessment Procedures

- 1) The supplier – responsible for the product placement in the market – shall obtain a Certificate of Conformity issued by a Notified Body approved by SASO, in accordance with the conformity assessment form (Type 3) as per Standard ISO/IEC 17067, as indicated in Annex (2).
- 2) The Notified (Approved) Body shall implement the conformity assessment procedures according to the specified model, in order to ensure fulfillment of the requirements of the Technical Regulation and relevant Saudi standards set out in Annex (1) of this Regulation.
- 3) The product shall be accompanied by a technical file, which includes the following:
 - Supplier (manufacturer/importer) Declaration of Conformity in accordance with the form in Annex (3).
 - Risk assessment document.
- 4) The supplier shall cooperate with the Regulatory Authorities and Market Surveillance Authorities by providing the technical file, Certificates of Conformity, and any other documents proving the conformity of the product with the requirements of this Technical Regulation, when required.
- 5) Lubrication oils or their equivalents that have obtained the Saudi Quality Mark are considered to be complying with the requirements set forth in this Technical Regulation.

Article (7): Responsibilities of Regulatory Bodies (Customs Ports - Manufactories)

Regulatory Authorities, as a part of their competence, shall carry out the following:

- 1) Regulatory Authorities shall verify that the products – Lubricating Oils - fulfill the requirements of the specified conformity assessment procedures, and the technical documents accompanied with the consignment.
- 2) Regulatory Authorities have the right to randomly take samples of the products and refer such samples to the competent laboratories to ascertain the extent of the conformity of such samples with the requirements set out in this Technical Regulation.
- 3) Regulatory Authorities will charge the suppliers with the costs of tests and associated fees.

- 4) In case of non-conformity, Regulatory Authorities shall withdraw the concerned products from warehouses, and take the necessary legal actions.

Article (8): Responsibilities of Market Surveillance Authorities

Market Surveillance Authorities, as part of their competence, shall carry out the following:

- 1) Enforce the market surveillance procedures to the products in markets and the products stored in warehouses, in order to check the product safety and the extent of fulfilling the requirements stipulated in this Technical Regulation and relevant standards.
- 2) Sample the product, from either the market or warehouses of suppliers (manufacturers and importers), in order to conduct the necessary tests and to check the conformity of such product with the requirements set out in this Technical Regulation.
- 3) In case of non-conformity of – displayed or stored – products with the requirements of this Technical Regulation, Market Surveillance Authorities shall take all administrative actions including withdrawal and recall of such products. Procedures and penalties – stipulated in Article (9) – shall be applied after taking the necessary actions.

Article (9): Violations and Penalties

- 1) It is prohibited to manufacture, import, launch, or even advertise the products non-conforming with the requirements of the articles stipulated in this Technical Regulation.
- 2) Failure to meet the requirements of this Regulation shall be a sufficient reason for Market Surveillance Authorities and Regulatory Authorities to consider the product as non-conforming, which may pose a risk to the health and safety of consumers and to the environment, including, but not limited to:
 - A) Non-fixing or improper fixing of conformity labels, Saudi Quality Mark, or its equivalent.
 - B) Failure to issue or improper issuance of the Certificate of Conformity or the Supplier Declaration of Conformity.
 - C) Lack, unavailability, or incompleteness of the technical documentation upon request.
 - D) Lack, unavailability, or incompleteness of product data/labels, safety guidelines, or usage instructions.
- 3) In case of a violation of the provisions hereof, Market Surveillance Authorities shall take all necessary actions to eliminate such violations, and their effects from the market. To this end, Market Surveillance Authorities may:

- A) Order the violating party – that is responsible for placing and offering of the product – to withdraw the product from the warehouses or markets in order to remedy such violations, if possible. The product may be exported or destroyed (according to the nature of the product) within the period specified by the Market Surveillance Authorities.
 - B) Withdraw, restrain or destroy the products, or take any other necessary action to recall such products from the markets. In addition, Market Surveillance Authorities may announce the withdrawal of the product from the markets, and the violating party shall bear all associated expenses.
- 4) In case of non-conformity of lubricating oils, SASO shall take the necessary actions concerning products non-conforming with the requirements of this Regulation, including the cancellation of the relevant Certificate of Conformity, while taking the necessary measures with the Notified (Approved) Body, which issued the certificate.
 - 5) A party that violates any of the provisions hereof shall be subject to the penalties stipulated in laws/regulations applicable in Saudi Arabia.

Article (10): General Provisions

- 1) Annexes, including the terms and definitions set forth in the standards, shall be deemed an integral part of the provisions hereof. SASO may amend any of these annexes, as required.
- 2) This Technical Regulation shall not impede the supplier to comply with all other systems/regulations applicable in the Kingdom of Saudi Arabia; pertaining to trading, transporting, or storing the product, in addition to the rules/regulations related to the environment, security, and safety.
- 3) Suppliers of the products subject to the provisions of this Technical Regulation shall provide the inspectors of the Regulatory and Market Surveillance Authorities with all necessary information, when required, to carry out their assigned tasks.
- 4) Where new originated cases that cannot be treated under the provisions of this Technical Regulation, or where a dispute arises as a result of the application of those provisions, such matter shall be referred to the competent committee in SASO, in order to issue a proper resolution regarding the case or dispute, while taking the public interest into consideration.

Article (11): Transitional Provisions

- 1) The supplier shall take corrective actions in accordance with provisions of this Technical Regulation, within a period of no more than six months as of the enforcement date.



- 2) Subject to the provisions of item (1) of this Article, products, not complying with the provisions specified herein, may be traded for a maximum of one year as of the enforcement date.
- 3) This Technical Regulation, once adopted, shall supersede all the preceding regulations related to the scope of this regulation.

Article (12): Publication



This Technical Regulation shall be published in the Official Gazette.

Annex No. (1)**List of Standards of Lubrication Oils Product**

No.	Title	Custom Code	Standard No.
1	Lubricating Oils for Internal Combustion Engines API Classifications	27101911 27101912 27101913 27139000	SASO GSO 1785
2	Paraffinic Mineral Base Oils	71019112	SASO 1526
3	Labeling - Labeling of Chemical Products		GSO 1810
4	Road Vehicles - Specification of non-petroleum base brake fluids for hydraulic systems	27101994 27100094	SASO GSO ISO 4925
5	Lubricating oils, industrial oils and related products (class L) - Family E (internal combustion engine oils) - Specifications for oils for use in four-stroke cycle motorcycle gasoline engines and associated drivetrains (categories EMA and EMB)	27101911 27101912 27101913	SASO GSO ISO 24254
6	Lubricating Oils for Gears	27101919	SASO 1530
7	HLP Hydraulic Oils	27101995	SASO GSO 1161
8	Classification and Specifications of Automotive Greases	27101998 27101919	SASO GSO 1164
9	Fluids for electro technical applications - Unused mineral insulating oils for transformers and switchgear	27101996	SASO GSO IEC 60296
10	Lubricating Oils for Air Compressors and Vacuum Pumps.	27101919	SASO GSO 1181
11	Mineral Lubricating Oils Used in Steam or Gas Turbines	27090000 27101999 27101995	SASO GSO 1210
12	Lubricants for Refrigerant Compressors	27101999	SASO 1775
13	CLP Lubricating Oils Used in Circular and Splash Lubrication Systems	27101919	SASO GSO 1183
14	Automatic Movement Transfer Liquid	27101914 27101995 27100054	SASO GSO 1180
15	lubricating oils for two - stroke engines	71019112	SASO 1869

Annex No. (2)

Conformity Assessment Form (Type 3) as per ISO/IEC 17067 (Type Approval Based on Quality Assurance of Production Process)

1 Type Approval Based on Quality Assurance the Production Process

A model of conformity assessment procedures, by which the supplier fulfills the obligations set out in the items below, while ensuring and acknowledging - on its sole responsibility - that the concerned products are in conformity with the type specified in the Type Approval Certificate and comply with the requirements of the relevant technical regulations.

2 Manufacturing

The supplier shall operate with a certified Product Safety Management System, to ensure the safety of the product, including production lines, final inspection and testing of the concerned products as per Clause (3), and shall be subject to periodic surveillance as per Clause (4).

3 Product Safety Management System

3/1 The supplier shall submit a request to a Notified Body "Third party" of its choice, in order to evaluate the safety management system of concerned products.

The request shall include:

- A) Name and address of the supplier, and the name and address of the official representative, in case the representative submits the request.
- B) The manufacturer shall be officially licensed by the relevant authorities in the country of origin.
- C) A written declaration not to submit the same request to any other Notified Body "Third Party".
- D) All relevant information regarding the concerned product category.
- E) Documentation of the Product Safety Management System.
- F) Technical documents of the certified type, and a copy of the Type Approval Certificate.

3/2 The Product Safety Management System shall guarantee that the manufactured products are in conformity with the type specified in the Type Approval Certificate, and with the requirements of the relevant technical regulations.

3/3 All the system elements and its requirements - adopted by the supplier - shall be documented in a systematic and orderly manner in a form of written policies, procedures and instructions. The documents of the Product Safety Management

System shall provide a consistent understanding of the safety programs, plans, manuals and records. Such documents shall contain, in particular, an adequate description of the following:

- A) Quality objectives, organizational structure, responsibilities and competences of the management regarding the safety of the product.
 - B) Manufacturing techniques, product safety and quality assurance procedures, and applied processes and procedures.
 - C) Executed inspections and tests; before, during, and after manufacturing, and the frequency with which they will be carried out.
 - D) Records: such as inspection, testing, calibration reports, and the qualification documents of relevant personnel, etc.
 - E) Means of control for achieving the required product safety and the effective operation of the Product Safety Management System.
- 3/4 The Notified Body, approving the Product Safety Management System, shall assess such system to determine whether it satisfies the requirements referred to in Clause (3/3), during the period of the approval of the system, which shall be three years.
- 3/5 The product shall be presumed to comply with the requirements of the technical regulations, in relation to the items of the Product Safety Management System, whenever it conforms to the standards.
- 3/6 In addition to experience in the relevant product safety, the auditing team shall have one technical expert - at least – who is experienced in the assessment of the field and techniques of manufacturing of the product, and is fully aware of the technical requirements stipulated in the relevant technical regulations.
- 3/7 The audit shall include an assessment visit to the factory. The auditing team shall review the technical documents referred to in Clause (3/3), in order to verify the manufacturer's ability to identify the requirements of the technical regulations and carry out the necessary examinations and tests to ensure compliance of the product with these requirements.
- 3/8 The manufacturer shall be notified of the decision after the end of the assessment, provided that such notice include audit findings, assessment decision, along with the justifications on which the decision was based.
- 3/9 The manufacturer shall be committed to satisfy the obligations of the Product Safety Management System, as approved, and to maintain the system so that it remains adequate and efficient.
- 3/10 The manufacturer shall notify the conformity assessment body, which approved the Product Safety Management System, of any proposed modifications to the system.

3/11 The Notified Body shall evaluate any proposed modifications and decide whether the modified Product Safety Management System will continue to satisfy the requirements referred to in Clause (3/3) or a reassessment is necessary. The Notified Body shall notify the manufacturer of its decision; the notification shall include testing results along with the justifications of the assessment decision.

4 Periodic Surveillance Under the Responsibility of the Notified Body

4/1 The purpose of periodic surveillance is to verify the extent of which the supplier meets the obligations of the certified Product Safety Management System.

4/2 For assessment purposes, the supplier shall allow the Notified Body, during the validation period, to enter the manufacturing, inspection, testing and storage sites. The supplier shall provide the Notified Body with all necessary information, particularly, the Product Safety Management System documents and safety records, such as testing and calibration reports, and the qualification documents of relevant personnel, etc.

4/3 The Notified Body shall carry out periodic audit visits to verify that the manufacturer applies and maintains the Product Safety Management System, and shall provide the supplier with an audit report.

4/4 The Notified Body have the right to perform unexpected visits to the factory. During such visits, the Notified Body may, as necessary, carry out product tests, or have them carried out by a third party, in order to verify that the Product Safety Management System is properly functioning. The Notified Body shall provide the supplier with an assessment report, and testing reports, in case of testing.

5 Certificate of Conformity and Declaration of Conformity

5/1 The Notified Body shall issue a Certificate of Conformity for the product in case the supplier has an effective and certified Product Safety Management System, upon the request of the supplier, within the validity period.

5/2 The Notified Body shall identify the product details in each request, clarify such details in the issued Certificate of Conformity, and record them in the electronic portal for conformity (in SASO).

5/3 The supplier shall provide a written Declaration of Conformity for each approved product type (Type Approval), and shall put it at the Regulatory Authorities and Market Surveillance Authorities disposal for a period of ten (10) years, at least, after the placement of the product in the market. The Declaration of Conformity of the supplier shall identify the approved product type. Furthermore, a copy of the Certificate of Conformity and the Declaration of Conformity shall be at the Regulatory Authorities and Market Surveillance Authorities disposal request.

- 5/4 The supplier shall put the following documents at the Regulatory Authorities and Market Surveillance Authorities disposal, for a period of ten (10) years, at least, after placement of the product in the market:
- Documentation referred to in Clause (3/3).
 - The amendments referred to in Clause (9/3), as approved.
 - Decisions and reports of the Notified Body, referred to in Clause (7/3).
- 5/5 Each Notified Body shall inform the Regulatory Authorities and Market Surveillance Authorities of issued or withdrawn Product Safety Management System approvals, and shall periodically or upon request, provide lists of Product Safety Management System approvals that have been rejected, suspended, or restricted by any means; on a regular basis or upon request. Each Notified Body shall inform, upon request, the other Notified Bodies of Product Safety Management System approvals it has rejected, suspended, withdrawn, or restricted; and notify such bodies of Product Safety Management System approvals issued by it.
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Annex No. (3)

Supplier's Declaration of Conformity Form

To be filled in on Company's official papers

Supplier Declaration of Conformity

1) Supplier's Data

Name:

Address:

Contact Person:

E-mail: -----

Tel.: -----

Fax: -----

2) Product Details

Product's Trademark

Type

Product Description

Reference Standards/ Technical Specifications

We declare that the product herein referred to is conformed to SASO Technical Regulation () and the attached SASO Standards.

Person in Charge:

Name of the Company:

Signature:

Date:

